

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
Fee Record Sheet

11/17/2003 HTACKLUI 00000076 190065 10706635
01 FC:1001 770.00 DA

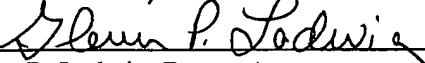
Adjustment date: 02/12/2004 SDIRETA1
11/17/2003 HTACKLUI 00000076 190065 10706635
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02/12/2004 SDIRETA1 00000002 190065 10706635
01 FC:2001 365.00 DA

PTO-1556
(5/87)

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on February 3, 2004


Glenn P. Ladwig, Patent Attorney

PATENT AND TRADEMARK OFFICE
REQUEST FOR REFUND

Patent Application

Docket No. UF-221C1XCZ1

Serial No. 10/706,635

US PATENT AND TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. : UF-221C1XCZ1
Applicants : Richard W. Moyer, Yi Li
Serial No. : 10/706,635
Filed : November 12, 2003
For : Materials and Methods for Delivery and Expression of Heterologous DNA
in Vertebrate Cells

MS 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

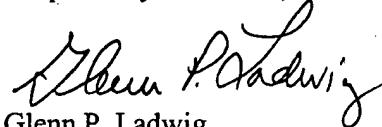
The applicants paid the Filing Fee for the above-identified patent application on November 12, 2003. The Fee Transmittal Form incorrectly indicated that the large entity Filing Fee of \$770.00 should be charged to Deposit Account No. 19-0065. A copy of the Fee Transmittal Form filed in the subject application is enclosed for reference. The applicants were subsequently informed that the application was actually a small entity. An Assertion of Small Entity Status under 37 C.F.R. §1.27(c) has been filed in this application (copy attached herewith).

The Monthly Statement of Deposit Account dated November 28, 2003 for Deposit Account No. 19-0065 indicates that the Filing Fee was charged as a large entity. A copy of the

pertinent portion of the November 28, 2003 Monthly Statement of Deposit Account 19-0065 is enclosed for reference.

The applicants respectfully submit that small entity status is correct in this application. Therefore, applicants should only have been charged the small entity Filing Fee of \$385.00. Accordingly, the applicants respectfully request a refund of \$385.00 (one half of the large entity Filing Fee) be credited to Deposit Account No. 19-0065.

Respectfully submitted,



Glenn P. Ladwig
Patent Attorney
Registration No. 46,853
Phone No.: 352-375-8100
Fax No.: 352-372-5800
Address: Saliwanchik, Lloyd & Saliwanchik
A Professional Association
2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669

GPL/mv

Attachments: Copy of the Fee Transmittal Form
Copy of Assertion of Small Entity Status under 37 C.F.R. §1.27(c)
Copy of Monthly Statement of Deposit Account dated 11-28-03 (control no. 134)

PATENT & TRADEMARK OFFICE
DOCKET NO. UF-221C1XCZ1

FEES TRANSMITTAL FORM

MS PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: Richard W. Moyer, Yi Li

Entitled: Materials and Methods for Delivery and Expression of Heterologous DNA in
Vertebrate Cells

A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.
 The Filing Fee is calculated below:

COPY

CLAIMS AS FILED

	Number filed	Number Extra	Rate	Fee
Basic Fee			\$770.00	\$770.00
Total Claims	16 - 20 =	0	x \$9	\$0.00
Independent Claims	3 - 3 =	0	x \$43	\$0.00
Presentation of Multiple Dependent Claim(s) (\$140)				\$ 0.00
			Total Filing Fee	\$770.00

Please charge \$770.00 to Deposit Account No. 19-0065. Two copies of this sheet are enclosed.
 The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
 This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

November 12, 2003

Date

Glenn P. Ludwig
Attorney of Record

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: ER054774318US

Date of Deposit: November 12, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tanya Lopez

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Signature

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on February 3, 2004



Glenn P. Ladwig

Glenn P. Ladwig, Patent Attorney

ASSERTION OF SMALL ENTITY STATUS
UNDER 37 C.F.R. §1.27(c)
Examining Group 1632
Patent Application
Docket No. UF-221C1XCZI
Serial No. 10/706,635

US PATENT & TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Not yet assigned)
Art Unit : 1632
Applicants : Richard W. Moyer, Yi Li
Serial No. : 10/706,635
Filed : November 12, 2003
Confirm. No. : 8304
For : Materials and Methods for Delivery and Expression of Heterologous DNA
in Vertebrate Cells

COPY

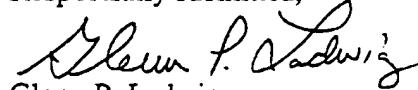
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ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. §1.27(c)

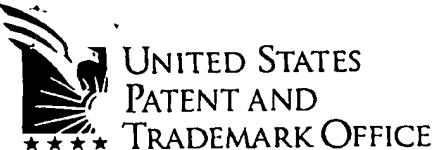
Sir:

The applicant asserts that the applicant is a small entity as defined in 37 C.F.R. §1.27(a), and that small entity status is entitled to be asserted for the above-identified application.

Respectfully submitted,


Glenn P. Ladwig
Patent Attorney
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A Professional Association
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Gainesville, FL 32606-6669

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SALIWANCHIK, LLOYD & SALIWANCHIK

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3

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